

THE REAL ESTATE BROKERS ACT

Application by an individual for registration as



THE MANITOBA
SECURITIES
COMMISSION

(Check appropriate box)

Salesperson

Authorized official (includes the proprietor)

**** BEFORE COMPLETING THIS APPLICATION, PLEASE REFER TO THE EXPLANATORY NOTES ON PAGE 3.**

TO THE REGISTRAR OF THE REAL ESTATE BROKERS ACT

Application is made for registration under *The Real Estate Brokers Act* in the category indicated above. For the purpose of obtaining registration, the applicant makes the following statements of fact:

1. Name of applicant (full legal name, including any nicknames and/or aliases)

2. Residential address (state full physical address including street and house number, and city, town, village, box/group #, etc) _____

Postal Code _____ Telephone No. _____ E-Mail Address: _____

3. Address for service: (This is the address that legal documents will be mailed and/or delivered to)

Postal Code _____ Telephone No. _____

4. Citizenship _____

[If the applicant is not a citizen of Canada, attach Documentary evidence (e.g. Record of Landing from Immigration authorities) showing that you are entitled to work in Canada].

5. Employment History:

NOTE: APPLICANTS MUST BE AT LEAST 18 YEARS OF AGE.

Provide employment history for past 2 years, including a description of any period in which you were not employed:			
Name and Address of Employer (if applicable)	Description of Activity such as Type of work / Position / School / Travel, etc	Period (go back the last 2 years)	
		FROM: D/M/YR	TO: D/M/YR

6. PLEASE NOTE: Where applicable, the questions in this section refer to all laws, e.g. criminal, immigration, customs, liquor, etc. but excluding minor traffic violations, of any province, state or country in any part of the world.

If your answer to any of the questions in this section is "yes," provide a full explanation on an attached sheet.

- (a) Have you ever been charged, indicted or convicted under the law of any province, state or country? Yes No
- (b) Have you during the past five years been given an absolute, or a conditional discharge from any charge laid against you under the law of any province, state or country? Yes No
- (c) Are there any unsatisfied civil judgments against you at the present time or is there civil action of any nature now pending against you in any court? Yes No
- (d) Have you ever been discharged from employment because of a charge or a conviction against you under the law of any province, state or country? Yes No
- (e) Has any civil judgment ever been given, either against yourself or against someone by whom you were employed, that was based on fraud alleged to have been committed by you? Yes No
- (f) Have you at any time declared bankruptcy or made a voluntary assignment in bankruptcy? (If "yes," and a discharge has been obtained with respect to the bankruptcy, attach a copy of the discharge documents; If "yes" and a discharge has not been obtained, include a copy of your Statement of Affairs.) Yes No
- (g) Have you ever been refused a fidelity bond? Yes No
- (h) Have you ever used, operated under, or carried on business, or are you known by any surname, company name or trade style, other than the name which is subscribed hereto? Yes No

7. **PLEASE NOTE: If your answer to any of the questions in this section is "yes," provide a full explanation on an attached sheet.**

Have you ever been:

- (a) registered or licensed to sell real estate, securities, insurance, or to broker or deal in mortgages, or in any other capacity under any legislation to deal with the public in Manitoba or any other province, state or country? Yes No
- (b) refused registration in any category referred to in 7(a) above? Yes No
- (c) suspended or cancelled for cause as a registrant or licensee in any category referred to in 7(a) above? Yes No

8. **PLEASE NOTE: If your answer to any of the questions in this section is "yes," provide a full explanation on an attached sheet.**

- (a) Have you ever been a member of The Canadian Real Estate Association or any of its affiliates or any local real estate board in any province? Yes No
- (b) Were you ever refused membership in any of the above-mentioned associations? Yes No
- (c) Was your membership in any of the above-mentioned associations ever suspended for cause or were you ever the subject of any other disciplinary action commenced by such an association? Yes No

9. If this application is approved, will you be engaged in any other employment other than with your broker? Yes No
If yes, give details. _____

I, the undersigned do hereby state, I am the applicant herein for registration and the statements of fact made by me in this application and in the attachments, if any, are true and complete.

DATED at _____, this _____ day of _____, 20 ____.

(Witness)

(Signature of Applicant)

CERTIFICATE AND AUTHORIZATION OF EMPLOYING BROKER

On behalf of (Registered name of broker i.e. company, partnership or proprietorship) _____, the applicant will be registered at the following branch office address _____
(full office address with postal code and phone number)

I hereby certify that the applicant named herein, who is applying for registration in the category named above, will be engaged as such if the registration or approval sought is granted by the Registrar.

I certify that I have discussed the questions set out in this application with the applicant and I am satisfied that the applicant fully understands the questions.

I further certify that, to the best of my knowledge, the applicant has answered the questions correctly.

DATED at _____, this _____ day of _____, 20 ____.

(Witness)

(Authorized Signature)

(Official capacity-state whether proprietor, partner, authorized official, officer or director.)

INSTRUCTIONS TO INDIVIDUALS COMPLETING THIS FORM -- APPLICATION FOR REGISTRATION AS AUTHORIZED OFFICIAL OR REAL ESTATE SALESPERSON

1. If there is not sufficient space to answer any of the questions, please use the space provided below, appropriately cross-referenced to the relevant question.
2. Before this application is submitted to the Registrar, it is recommended that the employing broker ensure that the broker's surety bond on file with the Registrar is in an amount sufficient to comply with the *Act* for applicants who are not members of the Manitoba Real Estate Association. If the broker's surety bonding coverage is not sufficient, this application will not be acceptable to the Registrar and will be refused.
3. The appropriate fee must accompany this application to the Registrar's office. If payment is being made by cheque, it must be made payable to "Minister of Finance" and must not be post-dated. If payment by credit card, complete the credit card payment form that can be obtained from this office or the website at www.msc.gov.mb.ca.

PLEASE NOTE: There is a charge-back fee for NSF cheques. In addition, if registration has already issued, the registration will be suspended until the full registration fee including the charge-back fee has been paid either in cash, money order or certified cheque.

WARNING

If this application is not fully completed and signed by the applicant and employer, it will not be accepted by the registrar's office. An application containing a false statement may result in the refusal, suspension or cancellation of any registration including a prosecution pursuant to *The Real Estate Brokers Act* against the applicant and/or the employing broker.

PLEASE PROVIDE ADDITIONAL INFORMATION HERE:

The Manitoba Securities Commission Criminal Record Form

APPLICANT

The Privacy Act (Canada) and the Freedom of Information and Protection of Privacy Act (Manitoba) authorize The Manitoba Securities Commission to collect personal information, with your consent, to determine your suitability for registration. By signing this form you agree that staff of The Manitoba Securities Commission can obtain your criminal record information for this purpose.

Surname: _____

Given Name: _____ Middle names(s): _____

Are you currently, or have you ever been, known by any names other than your full legal name above, for example: nicknames, spelling changes, or name changes due to marriage or divorce? Yes No

If Yes, list all other names: _____

Full Residential Address: _____ City: _____ Prov: _____

Sex: _____ Birthdate: _____ Birthplace: _____
(yyyy/mm/dd)

If born outside Canada, date of entry into Canada: _____
(yyyy/mm/dd)

Height: _____ Weight: _____ Hair Colour: _____ Eye Colour: _____

"I understand that the information provided on this form will be used to conduct suitability enquiries regarding my application, amendment to application or renewal thereof."

Applicant's Signature

Date (yyyy/mm/dd)

INTERNAL USE

Criminal Record: Yes No Other History _____

CPIC NCIC INTERPOL OTHER _____

Investigator: _____ Date: _____ Staff member: _____

FPS: _____

