



THE MANITOBA
SECURITIES
COMMISSION

**APPLICATION FOR TRANSFER OF REGISTRATION:
MORTGAGE SALESPERSON**

**To: The Registrar
The Mortgage Brokers Act**

This is an application pursuant to Section 17 of The Mortgage Brokers Act to transfer the registration of the mortgage salesperson named below.

1. _____
Full LEGAL name of salesperson
2. _____ / _____ / _____ / _____
Address for service (mailing address: civic address or P.O. box #) (City, Town) (Prov.) (Postal Code) (Telephone No.)
3. _____ / _____ / _____ / _____
Address of mortgage broker (City, Town) (Prov.) (Postal Code) (Telephone No.)
4. _____ / _____ / _____ / _____
Residence address (civic address) (City, Town) (Prov.) (Postal Code) (Telephone No.)

Salesperson's signature

PLEASE TAKE NOTE: THIS APPLICATION WILL NOT BE APPROVED BY THE REGISTRAR UNLESS THE REQUIRED TRANSFER FEE IS PAID AND THE REGISTRAR HAS RECEIVED WRITTEN NOTICE OF TERMINATION FROM THE SALESPERSON'S PREVIOUS EMPLOYING BROKER GIVING THE DATE OF AND THE REASON FOR THE TERMINATION.

APPLICATION BY BROKER:

On behalf of the broker, I request that the Registrar approve and record the transfer of the registration of the salesperson named above to my/our brokerage. I certify that on the basis of due and diligent inquiry as to the background of the salesperson named above, the undersigned believes this individual is of good character and reputation and hereby assumes responsibility for the conduct of the salesperson while in my/our employ.

Registered Name of Employing Broker _____

Signed on behalf of the broker on the _____ day of _____, 20 _____.

Signed of behalf of the Broker

Official position—must be signed on behalf of the broker by the owner, authorized official, a partner or officer or director.